

CALIFORNIA BOARD OF ACCOUNTANCY

2000 EVERGREEN STREET, SUITE 250 SACRAMENTO, CA 95815-3832 TELEPHONE: (916) 263-3680 FACSIMILE: (916) 263-3676 WEB ADDRESS: http://www.dca.ca.gov/cba



Authorization for Release of Examination & Licensure Information Form 11A-13 (Rev. 8/06)

| Purpose: | To transfer your CPA exam grades and/or license status from another state to California. |
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| Applicability: | Type B and C applicants (see reverse). |
| Who Completes: | Applicant completes, signs and dates release statement portion of the Authorization form. |
| Required Action: | Contact the specific state and request your license and/or CPA exam grades be mailed directly to the California Board of Accountancy. Forward the Authorization form to that state board. |
| When: | Prior to applying for licensure. |
| Submit To: | Authorization must be sent by the state issuing the original license and/or CPA exam grades directly to the: California Board of Accountancy 2000 Evergreen Street, Suite 250 |

Authority: Section 21 of Title 16 of the Accountancy Regulations.

Sacramento, California 95815-3832

TYPES OF LICENSURE APPLICANTS

| Type A | An applicant who passed the Uniform CPA Exam in California and is applying for licensure as a CPA in California for the first time. |
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| Type B | An applicant who passed the Uniform CPA Exam in a state other than California and <u>has not been issued a valid license to practice</u> <u>public accounting in any state and is applying for licensure as a CPA in California for the first time</u> . |
| Type C | An applicant who passed the Uniform CPA Exam in a state other than California and was issued a valid license to practice public accounting in a state other than California. |
| Type D | An applicant who previously was licensed as a CPA in California and the <u>certificate was cancelled after five years</u> for nonpayment of license renewal fees. |
| Type E | An applicant who passed the Canadian Chartered Accountant Uniform Certified Public Accountant Qualification Examination (CAQEX) of the American Institute of Certified Public Accountants (AICPA) or the International Uniform Certified Public Accountant Qualification Examination (IQEX) of the AICPA and the National Association of State Boards of Accountancy (NASBA). |
| Type F | A California licensee originally issued a license to perform general accounting services who has now completed attest experience. |



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AUTHORIZATION FOR RELEASE OF EXAMINATION & LICENSURE INFORMATION

| This section is to be completed by licensee or applicant. | | | | | | | | |
|---|---|---|-------------|---|---|-----------------------------------|--|--|
| | | RELEA | SE STA | TEMENT | | | | |
| This information is needed to process my request. I hereby authorize the Accountancy to provide all pertinent information on this form to the California Board of Accountancy. | | | | | | | | |
| Print Name _ | | Signatu | ure | | D | ate | | |
| Maryland applicants: In lieu of completing this form, individuals from Maryland required by California to provide AICPA Examination grades and/or CPA license status should visit Maryland's website at https://www.dllr.state.md.us/cgi-bin/ElectronicLicensing/verification/ver1.cgi?board=CPA. Please be advised the web address is case sensitive. | | | | | | | | |
| Type B applicants: This form must be completed by the state issuing your original written examination grades. The completed form must be returned directly to the California Board of Accountancy. | | | | | | | | |
| Type C applicants: This form must be completed by the state in which you hold a valid license to practice public accountancy. If that state is unable to certify your Uniform CPA examination grades, a separate form must be completed by the state issuing the original written examination grades. The completed form(s) must be returned directly to the California Board of Accountancy. | | | | | | | | |
| NAME | | | | | | | | |
| CERTIFICATE NO. (| PASSING EXAM ONLY) | | | DATE CERTIFIC | ATE ISSUED | STATE | | |
| ORIGINAL LICENSE NO. (REGISTERED TO PRACTICE) | | | | DATE ORIGINAL LICENSE ISSUED | | STATE | | |
| | UNIFORM CPA EXAMINATION GRADES VERIFICATION | | | | | | | |
| | | | _ | | | | | |
| | A grades been mod | lified in any way? | ∐ Y | es ∐ No |) | | | |
| • • | If yes, please explain | | | | | | | |
| Other (please) | | | | | | | | |
| The Uniform | n CPA Examination | Grades were transfer | red from | the State of | | | | |
| GRADES (Please list all grades: including failing grades) | | | | | | | | |
| DATE OF EXAM | CANDIDATE I.D. NO. | AUDITING & ATTESTATION (AUDITING) | ENVII CC | JSINESS RONMENT & DNCEPTS (LPR) NESS LAW) | FINANCIAL ACCOUNTING & REPORTING (THEORY OF ACCOUNTS) | REGULATION (ARE) (PRACTICE) | | |
| | | | | | | | | |

| Is your state two tier? Yes No Individual is currently licensed to engage in the practice of public accountancy through Date Date | LICE | NSE STATUS | | | | | | |
|---|------|--|--|--|--|--|--|--|
| The California Board of Accountancy defines attest engagements as ones in which the practitioner is engaged to issue, or does issue a written communication that expresses a conclusion about the reliability of a written assertion that is the responsibility of another party. Attest services include an audit, a review of financial statements, or an examination of prospective financial information; however, attest services do not include the issuance of compiled financial statements. Referencing the definition above, individual is currently authorized to sign attest reports on attest engagements. License is currently in an inactive status, which does not allow the above named individual the right to practice public accountancy. License is currently in a delinquent status, which does not allow the above named individual the right to practice public accountancy. License was cancelled effective: | | Is your state two tier? ☐ Yes ☐ No | | | | | | |
| issue, or does issue a written communication that expresses a conclusion about the reliability of a written assertion that is the responsibility of another party. Attest services include an audit, a review of financial statements, or an examination of prospective financial information; however, attest services do not include the issuance of compiled financial statements. Referencing the definition above, individual is currently authorized to sign attest reports on attest engagements. Yes | | Individual is currently licensed to engage in the practice of public accountancy through | | | | | | |
| on attest engagements. | | issue, or does issue a written communication that expresses a conclusion about the reliability of a written assertion that is the responsibility of another party. Attest services include an audit, a review of financial statements, or an examination of prospective financial information; however, attest services do not include the | | | | | | |
| public accountancy. License is currently in a delinquent status, which does not allow the above named individual the right to practice public accountancy. License was cancelled effective: License was revoked effective: License is not valid. (Explain) DISCIPLINARY ACTION If any disclosable disciplinary action has been taken against this licensee, please describe: (Name of State Board) By (Signature) (Title) | | | | | | | | |
| public accountancy. License was cancelled effective: License is not valid. (Explain) DISCIPLINARY ACTION If any disclosable disciplinary action has been taken against this licensee, please describe: (SEAL) (Name of State Board) By (Signature) (Title) | | | | | | | | |
| License was revoked effective: License is not valid. (Explain) DISCIPLINARY ACTION If any disclosable disciplinary action has been taken against this licensee, please describe: (Name of State Board) By (Signature) (Title) | | | | | | | | |
| License is not valid. (Explain) DISCIPLINARY ACTION If any disclosable disciplinary action has been taken against this licensee, please describe: (Name of State Board) By (Signature) (Title) | | License was cancelled effective: | | | | | | |
| DISCIPLINARY ACTION If any disclosable disciplinary action has been taken against this licensee, please describe: (Name of State Board) By (Signature) (Title) | | License was revoked effective: | | | | | | |
| If any disclosable disciplinary action has been taken against this licensee, please describe: (Name of State Board) (SEAL) (Signature) (Title) | | License is not valid. (Explain) | | | | | | |
| (SEAL) By (Signature) (Title) | | | | | | | | |
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